

103003

16711 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

50325-0805

TO THE COMMISSIONER FOR PATENTS:

Submitted herewith is the patent application of () application identifier or (X) first named inventor, Sanjay Aiyagari, et al.,
titled ROLE-BASED ACCESS CONTROL ENFORCED BY FILESYSTEM OF AN OPERATING SYSTEM, for a(n):

Original Patent Application.

- () Continuing Application (prior application not abandoned):
() Continuation () Divisional () Continuation-in-part (CIP)
of prior application No: _____ Filed on: _____
() A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

- (X) Specification 36 Total Pages; (X) Drawing(s) 1 Total Sheets; (X) Cover Sheet 1 Page
(X) Oath or Declaration: 2 Pages
(X) A Newly Executed Combined Declaration and Power of Attorney:
(X) Signed. () Unsigned. () Partially Signed.
() A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
() Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied, is considered as being part of the disclosure of the accompanying
application and is hereby incorporated herein by reference in its entirety for all purposes.
() Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 1.63(d)(2)).
() Power of Attorney. (X) Return Receipt Postcard.
() Associate Power of Attorney. (X) A Check in the amount of \$ 1,134.00 for the Filing Fee.
() Preliminary Amendment. () Information Disclosure Statement and Form PTO-1449.
(X) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
() A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
() A Certified Copy of Priority Documents (if foreign priority is claimed).
() Applicant(s) is entitled to small entity status. See 37 CFR 1.27.
() Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
(X) Recordation of Assignment Cover Sheet and executed Assignment (6 pgs).
() Other: _____

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	38	18	\$18.00	\$ 324.00
Independent Claims	3	0	\$86.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$40.00
Basic Filing Fee				\$770.00
Total Filing Fee				\$ 1,134.00

Charge \$ _____ to Deposit Account 50-1302 pursuant to 37 CFR § 1.25. Throughout the pendency of this
application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to
deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: Christopher J. Palermo
Christopher J. Palermo, Reg. No. 42,056

Date: October 30, 2003

Correspondence Address:

29989

I hereby certify that this is being deposited with the U.S. Postal
Service "Express Mail Post Office to Addressee" service under
37 CFR § 1.10 on the date indicated below and is addressed to:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

By: Tirena Say

Typed Name: Tirena Say
Express Mail Label No.: EV322192566US
Date of Deposit: October 30, 2003

22154 U.S. PTO
10/698498

103003

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision,
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$ 1,134.00)

Complete If Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Sanjay Aiyagari, et al.
Examiner Name	Not Yet Assigned
Group/Art Unit	Not Yet Assigned
Attorney Docket No.	50325-0805

METHOD OF PAYMENT (check one)

1. ☒ Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number 50-1302

Deposit Account Name Hickman Palermo Truong & Becker, LLP

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

3. ☐ Applicant(s) is entitled to small entity status. See 37 CFR 1.27

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1051	130 2051 65	Surcharge - late filing fee or oath	
1052	50 2052 25	Surcharge - late provisional filing fee or cover sheet	
1053	130 1053 130	Non-English specification	
1804	920* 1804 920*	Requesting publication of SIR prior to Examiner action	
1805	1,840* 1805 1,840*	Requesting publication of SIR after Examiner action	
1251	110 2251 55	Extension for reply within first month	
1252	410 2252 205	Extension for reply within second month	
1253	930 2253 465	Extension for reply within third month	
1254	1,450 2254 725	Extension for reply within fourth month	
1255	1,970 2255 985	Extension for reply within fifth month	
1401	320 2401 160	Notice of Appeal	
1402	320 2402 160	Filing a brief in support of an appeal	
1403	280 2403 140	Request for oral hearing	
1451	1,510 1451 1,510	Petition to institute a public use proceeding	
1452	110 2452 55	Petition to revive - unavoidable	
1453	1,300 2453 650	Petition to revive - unintentional	
1501	1,300 2501 650	Utility issue fee (or reissue)	
1502	470 2502 235	Design issue fee	
1503	630 2503 315	Plant issue fee	
1460	130 1460 130	Petitions to the Commissioner	
1807	50 1807 50	Petitions related to provisional applications	
1808	180 1808 180	Submission of information Disclosure Stmt	
8021	40 8021 40	Recording each patent assignment per property (times number of properties)	40.00
1809	750 2809 375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750 2810 375	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (1) (\$ 750.00)

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001	750 2001 385	Utility filing fee	770.00
1002	330 2002 165	Design filing fee	
1003	520 2003 260	Plant filing fee	
1004	750 2004 375	Reissue filing fee	
1005	180 2005 80	Provisional filing fee	

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from Below	Fee Paid
38	-20**= 18	18.00	324.00
Independent Claims	3 -3***= 0	86.00	0.00
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1202	18 2202 9	Claims in excess of 20
1201	88 2201 43	Independent claims in excess of 3
1203	280 2203 140	Multiple dependent claim, if not paid
1204	84 2204 42	**Reissue independent claims over original patent
1205	18 2205 9	**Reissue claims in excess of 20 and over original patent

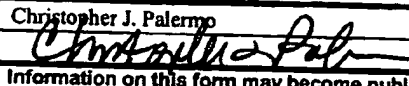
SUBTOTAL (2) (\$ 324.00)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY

Name (Print/Type) Christopher J. Palermo

Signature 

Registration No. (Attorney/Agent) 42,056

Complete (if applicable)

Telephone (408) 414-1080

Date October 30, 2003

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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